Missouri University of Science and Technology

Office of Equity & Title IX

2020-2021 Annual Report

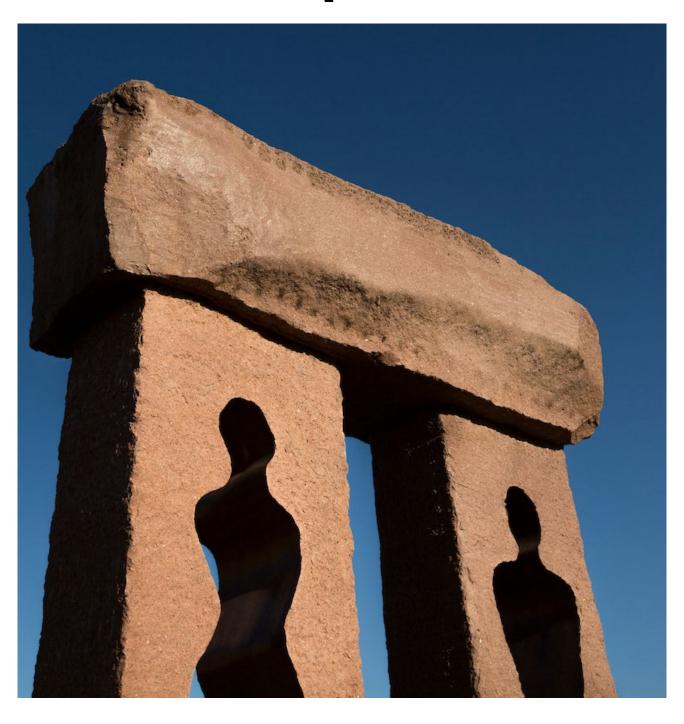


Table of Contents

Equity & Title IX Department	4
Policies and Procedures	4
Process Overview	5
Annual Report Overview	5
Summary Overview – Reports of discrimination and harassment	6
Info 1. Number of reports submitted to Equity & Title IX	6
Info 2. Person types involved in reports	6
Info 3. Number of alleged violations	7
Info 4. Where reported incidents occurred	7
Info 5. Person type of reporter	7
Info 6. Report frequency by month	8
Info 7. Allegations reported	9
Info 8. Interventive actions	10
Info 9. Respondent case resolution	11
Info 10. Responsibility	14
Missouri S&T Student Respondents	15
Info 11. Student respondents	15
Info 12. Types of reported allegations	15
Info 13. Incident locations	16
Info 14. Month incidents reported	16
Info 15. Person type of reporter.	16
Info 16. Person type of complainant	16
Info 17. Allegation resolution	17
Missouri S&T Faculty Respondents	18
Info 18. Faculty Respondents	18
Info 19. Allegations reported	18
Info 20. Incident locations	19
Info 21. Month incidents reported	19
Info 22. Person type of reporter.	19
Info 23. Person type of complainant	19
Info 24. Allegation resolution	19
Missouri S&T Staff Respondents	20
Info 25. Staff respondents	20
Info 26. Allegations reported	20

Info 27. Incident locations	21
Info 28. Months incidents reported	21
Info 29. Person type of reporter	21
Info 30. Person type of complainant	21
Info 31. Allegation resolution	21
University of Missouri Entity Respondents	22
Info 32. University of Missouri Entity Respondents	22
Info 33. Allegations reported	22
Info 34. Incident locations	23
Info 35. Month incidents reported	23
Info 36. Person type of reporter	23
Info 37. Person type of complainant	23
Info 38. Allegation resolution	23
Student Organization Respondents	24
Info 39. Student Organization Respondents	24
Info 40. Allegations reported	24
Info 41. Incident locations	25
Info 42. Month incidents reported	25
Info 43. Person type of reporter	25
Info 44. Person type of complainant	25
Info 45. Allegation resolution	25
Third Party Respondents	26
Info 46. Third Party Respondents	26
Info 47. Allegations reported	26
Info 48. Incident locations	27
Info 49. Month incidents reported	27
Info 50. Person type of reporter	27
Info 51. Person type of complainant	27
Info 52. Allegation resolution	27
Contact Information	28

Equity & Title IX Department

The mission of the Office of Equity and Title IX (ETIX) is to foster a collaborative environment among the campus community furthering equity and facilitating diversity by assuring Missouri S&T's compliance with University of Missouri System policies and procedures, and state and federal statutes and regulation, in the area of Title IX, civil rights, and equal opportunity. Missouri S&T is committed to promoting a safe living and learning environment for everyone.

Policies and Procedures

The Department of Equity and Title IX has been delegated the responsibility of ensuring compliance with University of Missouri policies and procedures related to equity and Title IX. Equity is equal employment and educational opportunities based on a protected class – race, color, national origin, ancestry, religion, sexual orientation, age, disability, protected veteran status, or any other status protected by applicable state or federal law. Title IX requires of the university to have an environment free from sexual harassment regarding the university's employment process, any phase of admission or financial aid, and all other aspects of its education programs or activities.

The U.S. Department of Education amended Title IX regulations in 2020. These changes resulted in the University of Missouri implementing updated policies effective August 14, 2020. For incidents which occurred prior to August 14, 2020, the previous policies and procedures apply.

The University of Missouri policies that guide the equity and Title IX processes are linked below and are available online at www.umsystem.edu/ums/rules/collected_rules/equal_employment_educational_opportunity.

Policies and procedures for incidents that happened prior to August 14, 2020:

- 600.010 Equal Employment/Educational Opportunity and Nondiscrimination Policy for matters involving conduct alleged to have occurred prior to August 14, 2020
- 600.020 Sex Discrimination, Sexual Harassment and Sexual Misconduct in Education/Employment Policy for matters involving conduct alleged to have occurred prior to August 14, 2020
- 600.030 Equity Resolution Process for Resolving Complaints of Discrimination, Harassment, and Sexual Misconduct against a Student or Student Organization - for matters involving conduct alleged to have occurred prior to August 14, 2020
- 600.040 Equity Resolution Process for Resolving Complaints of Discrimination, Harassment, and Sexual Misconduct against a Faculty Member - for matters involving conduct alleged to have occurred prior to August 14, 2020
- 600.050 Equity Resolution Process for Resolving Complaints of Discrimination, Harassment, and Sexual
 Misconduct against a Staff Member for matters involving conduct alleged to have occurred prior to August
 14, 2020
- 600.060 Equity Resolution Process for Resolving Complaints of Discrimination and Harassment against the University of Missouri for matters involving conduct alleged to have occurred prior to August 14, 2020

Policies and procedures for incidents that happen on or after August 14, 2020:

- 600.010 Equal Employment/Educational Opportunity and Nondiscrimination Policy for matters involving conduct alleged to have occurred on or after August 14, 2020
- 600.020 Sexual Harassment under Title IX for matters involving conduct alleged to have occurred on or after August 14, 2020
- 600.030 Resolution Process for Resolving Complaints of Sexual Harassment under Title IX for matters involving conduct alleged to have occurred on or after August 14, 2020
- 600.040 Equity Resolution Process for Resolving Complaints of Discrimination and Harassment against a Faculty Member or Student or Student Organization for matters involving conduct alleged to have occurred on or after August 14, 2020

600.050 Equity Resolution Process for Resolving Complaints of Discrimination and Harassment against a Staff
Member or the University of Missouri - for matters involving conduct alleged to have occurred on or after
August 14, 2020

Process Overview

The process for handling incidents is determined by whether the violation is based on equity policy or sexual harassment policy under Title IX.

FAQs and process guides are linked below and are available online.

Equity FAQs and Process Guide- https://www.umsystem.edu/ums/dei/titleix/equity-faqs

Title IX FAQs and Process Guide - https://www.umsystem.edu/ums/dei/titleix/faqs

Annual Report Overview

For reporting purposes, an academic calendar of August 1 through July 31 is used.

This Annual Report is structured to include an overview of all reported incidents and resolutions during the academic year, followed by detailed data based on respondent type. For subsequent sections, where data is broken down by respondent's person type and there is more than one respondent for an incident, data fields, including but not limited to location, reporting date, and who submitted the report, will be counted for each person type.



Summary Overview - Reports of discrimination and harassment

Info 1. Number of reports submitted to Equity & Title IX

Table 1: Reports2020-2021 **93**

A report to Equity & Title IX is any information indicating that one or more person(s) may have violated policy. Upon receipt of a report, ETIX begins a preliminary inquiry, contacting the complainant, offering supportive measures,

explaining how to file a complaint formally, and gathering information to make a threshold decision if the report describes a possible violation.

A report may be made through an online form, in-person, by email, by mail, or on the phone.

Info 2. Person types involved in reports

Complainant is the term for an individual who allegedly has been subjected to discrimination or harassment in violation of the University's polices.

Respondent refers to a faculty member, staff, student, student organization, third party, or a University of Missouri entity such as a campus department or other institutional entity alleged to have violated the University's Anti-Discrimination Policies.

Table 2a: Person type by complainant and respondent		
Туре	Complainants	Respondents
Faculty	5 (5.2%)	16 (16.7%)
Staff	5 (5.2%)	6 (6.3%)
Student	78 (81.3%)	55 (57.3%)
Student Organization	0 (0.0%)	4 (4.2%)
Third Party	8 (8.3%)	9 (9.4%)
University Entity	0 (0.0%)	6 (6.3%)
TOTAL	96	96

Faculty includes all regular and non-regular

staff appointments as defined in Sections 310.020 and 310.035 of the Collected Rules and Regulations.

Staff includes all administrative, service and support staff, which includes all regular employees, variable hour employees, non-regular employees, per diem employees, and subsidiary employees as defined in Section 320.050, subsections B and C of the Collected Rules and Regulations. Additionally, it includes when academic administrators are acting in their administrative, at-will role.

Student is a person having once been admitted to the University who has not completed a course of study and who intends to or does continue a course of study in or through one of the Universities of the University System. Student status continues whether or not the University's academic programs are in session.

Student organization is a recognized student organization which has received official recognition in accordance with Section 250.010 of the Collected Rules and Regulations.

Third party refers to non-university affiliated individual or groups such as visitors, guests, contractors, or service providers.

University entity refers to the University of Missouri, one of the universities within the University of Missouri System, or one of its/their educational programs, departments, or other institutional entities where the alleged behavior is conducted by a person not acting as an individual actor but rather as a member of such groups

There can be multiple complainants and respondents involved in a single incident or report. Each respondent is analyzed separately to determine whether policy has been violated.

To provide the most accurate analysis for data, we have further classified individuals whose identities were unnamed or anonymous (i.e., their names were unknown or not provided to ETIX), based on information

Page 6 Summary Overview

available to us. Typically, even without an individual's name, there is sufficient information in an incident report to determine that they are most likely a student, staff, faculty member, third party, etc. Unconfirmed individuals were classified as noted below.

Table 2b: Unnamed party classification		
Туре	Complainants	Respondents
Faculty	0	0
Staff	1	0
Student	10	11
Student Org	0	0
Third Party	5	6
University Entity	0	0
TOTAL	16	17

Info 3. Number of alleged violations

Table 3: Alleged Violations		
2020-2021	113	

A report may include more than one allegation. This would explain why the number of allegations may exceed the number of reports received in any given reporting period.

Info 4. Where reported incidents occurred

Only one category per report is included in this data, notating the primary location of each incident.

On campus generally includes properties owned and operated by the University of Missouri/Missouri S&T, in addition to properties owned or controlled by a student organization that is officially recognized by the University.

Off campus is for locations not categorized as on campus.

Table 4. Location of incluents		
Location	2020-2021	
On Campus	57 (61.3%)	
Off Campus	18 (19.4%)	
Electronic	17 (18.3%)	
Undisclosed	1 (1.1%)	
TOTAL	93	

Table 4: Location of incidents

Electronic references when an incident takes place in a non-physical location and through an electronic means such as email or social media platforms.

Undisclosed means we were unable to further specify, which may happen when a complainant does not respond to ETIX outreach and the location was not included in the initial report, or if a complainant chooses not to disclose that information.

Info 5. Person type of reporter

This table shows the type of person who submitted a report. Reports may be made by anyone, which may include the complainant.

Table 5: Person type of reporter		
Reporter	2020-2021	
Student	41 (44.1%)	
Staff	16 (17.2%)	
Faculty	13 (14.0%)	
Anonymous	12 (12.9%)	
University Police	7 (7.5%)	
Third Party	4 (4.3%)	
TOTAL	93	

Page 7 Summary Overview

Info 6. Report frequency by month

All reports submitted to ETIX from August 1 through July 31 are counted in this Annual Report. These numbers are based on the date of the report received, *not* the date of the alleged incident. Thus, not every incident included in this Annual Report occurred during the academic year indicated, and not every incident occurred while the parties were associated with the University.

Some incident dates were estimated in cases where limited information was provided by the parties.

Table 6b: Duration between incident and reported date		
	2020 - 2021	
Day of incident date	35 (37.6%)	
By the day after incident	49 (52.7%)	
Within 1 week (7 days)	63 (67.7%)	
Within 2 weeks (14 days)	67 (72.0%)	
Within 1 month (30 days)	72 (77.4%)	
Within 6 months (180 days)	82 (88.2%)	
Within 1 year (365 days)	88 (94.6%)	
Within 2 years (730 days)	90 (96.8%)	
Within 5 years (1825 days)	91 (97.8%)	
Within 10 years (3,650 days)	92 (98.9%)	
More than 10 years	93 (100.0%)	
Total Number of Reports	93	

Table 6a: Report date		
Month	2020-2021	
August	8 (8.6%)	
September	21 (22.6%)	
October	15 (16.1%)	
November	3 (3.2%)	
December	2 (2.2%)	
January	2 (2.2%)	
February	8 (8.6%)	
March	9 (9.7%)	
April	10 (10.8%)	
May	7 (7.5%)	
June	4 (4.3%)	
July	4 (4.3%)	
TOTAL	93	



Page 8 Summary Overview

Info 7. Allegations reported

A report may include more than one allegation. Table 7 represents the types and volume of allegations reported for the reporting period. These numbers represent accusations/allegations, not ultimate findings of responsibility.

Unclassified includes reports that contain insufficient details to further classify the alleged behavior, often because a third party who reported to ETIX did not provide that level of information initially and/or because the complainant chose not to disclose further details.

The chart is divided to show allegations regarding incidents which happened prior to and after the policy changes that were effective on August 14, 2020.

Table 7: Types of reported allegation 113 allegations from 93 reports		
14 (12.4%)	Allegations regarding inc August 14, 2020	
11 (9.7%)	Sexual harassment unde	
	Hostile Environment	
3 (2.7%)	Rape/Attempted Rape	
2 (1.8%)	Unclassified	
2 (1.8%)	Dating violence	
2 (1.8%)	Fondling	
1 (0.9%)	Equity Discrimination ar	
1 (0.9%)		
3 (2.7%)	Race Discrimination	
	Unclassified Discriminati	
1 (0.9%)	Sex Discrimination	
1 (0.9%)	National Origin Discrimir	
1 (0.9%)	Hostile Environment	
	113 allega 14 (12.4%) 11 (9.7%) 3 (2.7%) 2 (1.8%) 2 (1.8%) 1 (0.9%) 1 (0.9%) 1 (0.9%) 1 (0.9%) 1 (0.9%)	

Definitions of these allegations are available online: New policies, effective August 14, 2020: <u>CRR 600.010</u> and <u>CRR 600.020</u>

Previous policies, effective March 1, 2017: CRR 600.010 and CRR 600.020

Allegations regarding incidents on or after August 14, 2020	99 (87.
Sexual harassment under Title IX (600.020)	33 (29.2
Hostile Environment	23 (20.4
Rape/Attempted Rape	4 (3.5%
Unclassified	3 (2.7%
Dating violence	2 (1.8%
Fondling	1 (0.9%
Equity Discrimination and Harassment (600.010)	61 (54.0
Race Discrimination	13 (11.5
Unclassified Discrimination	10 (8.89
Sex Discrimination	8 (7.1%
National Origin Discrimination	6 (5.3%)
Hostile Environment	5 (4.4%
Sexual Orientation Discrimination	3 (2.7%)
Rape/Attempted Rape	3 (2.7%)
Fondling	3 (2.7%)
Dating Violence	2 (1.8%)
Quid Pro Quo	2 (1.8%)
Disability Discrimination	1 (0.9%)
Religious Discrimination	1 (0.9%)
Age Discrimination	1 (0.9%)
Domestic Violence	1 (0.9%)
Gender Identity Discrimination	1 (0.9%)
Color Discrimination	1 (0.9%)
Other allegations	5 (4.4%)
Retaliation	4 (3.5%)
False reporting	1 (0.9%)

Page 9 Summary Overview

Info 8. Interventive actions

Supportive measures are non-disciplinary, non-punitive, individualized services offered as appropriate, as reasonably available, and without fee or charge to the complainant or respondent before or after the filing of a complaint. These measures are designed to restore or preserve equal access to university's education programs, activities, or employment without unreasonably burdening the other party, including measures designed to protect the safety of all parties or the university's education environment or deter discrimination and harassment.

Emergency removal is the removal of a student respondent from the university's education program or activity on an emergency basis, if after conducting an individualized safety and risk analysis, there is a determination that an immediate threat to the physical health or safety of any student, or other individual arising from the allegations of discrimination or harassment justifies the removal.

Interim suspension of a student organization is the suspension of a recognized student organization who is a respondent on an interim basis, including its operations, university recognition, access to and use of campus facilities and services for which it might otherwise be eligible, pending the completion of the equity process when it is believed that the presence of the organization on campus would seriously disrupt the University or constitute a danger to the health, safety, or welfare of the members of the university community.

Administrative leave is the leave of a university employee in accordance with the University Human Resource policies.

Table 8: Interventive actions for complainants and respondents	2020-2021 Total 36
Supportive Measures	36
Referral for counseling or supportive service	8
Referral to academic support services and other services (students)	2
Mutual no contact restrictions	8
Adjusting extracurricular activities	1
Adjusting work schedule/work assignments	1
Adjustment of course assignments and/or exam	15
Adjusting work arrangements	1
Emergency Removal	0
Interim suspension of a student organization	0
Administrative leave for an employee	0

Page 10 Summary Overview

Info 9. Respondent case resolution

Once a report is submitted, ETIX begins a preliminary inquiry to determine if any policies may have been violated. At the end of the preliminary inquiry a determination is made whether to move forward with a full investigation or to close the case.

ETIX begins processing a report following equity resolution processes as defined by the Collected Rules and Regulations of the University of Missouri.

In order to move forward with a formal investigation, a complaint stating the allegations must be filed by the complainant, Equity Officer, or Title IX Coordinator. The respondent is notified of the allegations and an investigation is launched. Cases may be resolved either by formal resolution or informal resolution. The formal and informal resolution options vary depending on the resolution process required by policy. For more information about the process and resolution options, please refer to the Equity Process Guide and Title IX Process Guide.

For matters that occurred prior to August 14, 2020, the equity resolution process was determined based on the respondent's affiliation with the University during the incident. Equity resolution processes were determined based upon whether the respondent was a student, student organization, faculty, staff, or an entity of the University.

For matters on or after August 14, 2020, the equity resolution process was based on whether there was a violation for sexual harassment under Title IX (600.020) or if it involved equal opportunity concerns based upon a protected class (600.010).

Below are the resolution options for incidents which occurred prior to August 14, 2020:

Table 9: Respondent case	Total 96
resolution	Respondents
Incidents prior to August 14, 2020	12
Sex discrimination, sexual harassment,	11
sexual misconduct (600.020)	
Not a policy violation	1
Request not to pursue	8
Conflict resolution – Voluntary permanent separation	1
Informal Resolution - Administrative	1
resolution	
Equity Discrimination and Harassment (600.010)	2
Does not describe a policy violation	2
Incidents on or after August 14, 2020	84
Sexual harassment under Title IX (600.020)	30
No formal complaint filed	27
Informal Resolution – Mediation / Facilitated Dialogue	1
Informal Resolution – Administration Resolution	1
Hearing Panel	1
Equity Discrimination and Harassment (600.010)	52
No complaint filed	31
Out of jurisdiction	10
Referral to other process	1
Summary resolution	3
Administrative resolution	7
Other policy violations	5
No complaint filed	2
Out of jurisdiction	1
Administrative resolution	1
Not a policy violation	1

NOTE – For respondents with more than one allegation where the allegations fall into more than one incident period and/or violation type, the case will be counted in each violation type/period.

- Referral to other process If a violation does not meet the criteria for a violation under a policy
 related to discrimination or harassment as defined by Sections 600.010 or 600.020 of the Collected
 Rules and Regulations, but may be a violation of another university or campus policy, the incident
 may be referred the appropriate department, such as Human Resources or Student Support and
 Community Standards.
- **Does not describe a policy violation** At the end of the preliminary inquiry if it is determined that even if what is reported were true, there would be no policy violation. This includes incidents which fall outside of the University's jurisdiction.
- **Summary resolution** If the Equity Officer or Title IX Coordinator determines that there is insufficient basis to proceed with the Complaint, then the process will end.
- **Conflict resolution** A voluntary process using alternative dispute resolution mechanisms such as mediation or facilitated dialogue. If successful, the investigation process ends with no findings

Page 11 Summary Overview

- issued. Conflict resolution includes voluntary permanent separation where the respondent voluntarily agrees to permanently withdraw from the University System.
- **Administrative Resolution** The resolution of a complaint by making a finding on allegations and sanctions without a hearing, typically by a single decision maker.
- **Hearing Panel Resolution** The decision of whether a violation has occurred and findings on sanctions is made by a panel of three decision makers.
- Request to not pursue An impacted party may request that the matter not be investigated. This
 resolution option is available only for sex discrimination, sexual harassment, and sexual
 misconduct.

For incidents that occur on or after August 14, 2020, the following resolution options are available.

Reports of sexual harassment under Title IX (600.020) may be resolved as follows:

- Formal complaint not filed –In cases where a formal complaint is not filed, the process ends. A
 formal complaint may not be filed for a variety of reasons, including:
 - Could not purse, insufficient information to act Sometimes ETIX is not able to gather
 sufficient information to determine if a policy was violated. An example is when a report is
 submitted anonymously but does not contain detailed information.
 - **Reluctant complainant/Request not to pursue** If the impacted party chooses not to participate in the process, a formal complaint has not been filed, or the party requests the matter not be investigated, the resolution process is concluded.

Dismissal -

- During or upon completion of the investigation, the Title IX Coordinator will review the Formal Complaint and the investigative report, if available, to determine if the Formal Complaint is subject to dismissal.
 - Dismissal Does not constitute sexual harassment under CRR 600.020.
 - Dismissal Did not occur in the University's education program or activity.
 - Dismissal The conduct did not occur against a person in the United States.
- A Formal Complaint may also be dismissed at any time during the investigation or hearing in the following circumstances:
 - Dismissal Complainant withdrew formal complaint.
 - Dismissal Respondent is no longer with the university.
 - Dismissal Circumstances prevent the University from gathering evidence sufficient to reach a determination.
- Informal Resolution A voluntary process using alternative dispute resolution mechanisms. If successful, the investigation process ends with no findings issued. Informal resolution is never available to resolve allegations that an employee sexually harassed a student. Informal resolution includes:
 - **Mediation/Facilitated dialogue** A neutral facilitator will foster a dialogue with the Parties to an effective resolution.
 - **Voluntary permanent separation** The respondent voluntarily agrees to permanently withdraw from the University of Missouri System.
 - **Administrative resolution** If all parties choose administrative resolution, the resolution will be determined by a single decision maker.
- **Hearing Panel Resolution** The decision of whether a violation has occurred and findings on sanctions and remedial actions are made by a panel of three decision makers.

Page 12 Summary Overview

Reports of discrimination and/or harassment based on a protected class under equity (600.010) may be resolved as follows:

• No complaint filed -

- Could not purse, insufficient information to act Sometimes ETIX is not able to gather sufficient information to determine if a policy was violated. An example is when a report is submitted anonymously but does not contain detailed information.
- Conclusion of preliminary inquiry, no policy violation –At the end of the preliminary inquiry, the Equity Officer determines that based upon the information gathered, the report does not describe a violation of the University's Anti-Discrimination policies.
- Referral to other process If a violation does not meet the criteria for a violation under a policy
 related to discrimination or harassment as defined by Sections 600.010 of the Collected Rules and
 Regulations but may be a violation of another university or campus policy, the incident may be
 referred to the appropriate department, such as Human Resources or Student Support and
 Community Standards.
- Out of jurisdiction Out of jurisdiction is determined when matters that occurred are beyond the University of Missouri premises, not at university sponsored or at university supervised functions, or for matters that occurred in other settings including off campus but and for which the behavior reported did not have a nexus to the university's educational programs, activities, or employment.
- **Summary resolution** Resolution of the Complaint upon a determination by the Equity Officer that there is an insufficient basis to proceed with the Complaint based upon the evidence gathered through investigation that the Respondent violated the University's Anti-Discrimination Policies.
- Conflict resolution A voluntary process using alternative dispute resolution mechanisms. If successful, the investigation process ends with no findings issued. Conflict resolution is never available to resolve allegations that an employee sexually harassed or engaged in sexual misconduct with a student. Conflict resolution includes:
 - **Mediation/Facilitated dialogue** A neutral facilitator will foster a dialogue with the Parties to an effective resolution.
 - **Voluntary permanent separation** The respondent voluntarily agrees to permanently withdraw from the University of Missouri System.
- **Administrative Resolution** The resolution of a complaint by making a finding on allegations and sanctions without a hearing, typically by a single decision maker.
- **Hearing Panel Resolution** The decision of whether a violation has occurred and findings on sanctions and remedial actions are made by a panel of three decision makers.

Page 13 Summary Overview

Info 10. Responsibility

The equity and Title IX resolution processes are university processes and not criminal processes. Respondents determined to have violated policy are found *responsible*. A determination of responsible or not responsible is found in cases that are resolved through administrative resolution and hearing panel resolution.

Table 10a: Respondents found responsible		
Respondent	# Cases Where a Decision Was Made	# Respondents Found Responsible
Student	8	5
Faculty	1	0
Staff	1	0
Student Org	1	1

In Table 10, the respondent was found responsible for at least one violation of policy in the matter resolved.

Three respondents requested an appeal. Findings were upheld in all cases.

Note: Both complainant and respondent have the right to appeal decisions regarding administrative resolution or hearing panel resolution findings.

Table 10b lists the sanctions imposed when a respondent was found responsible. Often, respondents receive more than one sanction at a time, thus there may be more sanctions listed than respondents found responsible.

Table 10b: Sanctions	Frequency
Required training	4
Written warning	2
Reflection paper	1
Apology letter	1



Page 14 Summary Overview

Missouri S&T Student Respondents

Info 11. Student respondents

The number of cases reflects where one or more respondent is a student. In some cases where there is more than one respondent, the case will be counted for each respondent type. For example, if a case has two respondents and one is a student and the other is a third party, the case will be counted in both sections for student and third parties.

Table 11: Student respondents	
# of reports	54
# of respondents	55
# allegations	63

Info 12. Types of reported allegations

Table 12: Types of reported allegations for student respondents		
Allegations regarding incidents prior to August 14, 2020	10 (16.0%)	Allegations regarding incidents on or aft August 14, 2020
Sex discrimination, sexual harassment, sexual misconduct	9 (14.4%)	Sexual harassment under Title IX (600.020)
(600.020) Nonconsensual sexual	2 (4, 904)	Hostile Environment
intercourse	3 (4.8%)	Rape/Attempted Rape
Sexual harassment	1 (1.6%)	Unclassified
Nonconsensual sexual contact	2 (3.2%)	Dating violence
Stalking on basis of sex	1 (1.6%)	Fondling
Sexual exploitation	1 (1.6%)	Equity Discrimination and Harassment
Unclassified	1 (1.6%)	(600.010)
Equity Discrimination and	1 (1.6%)	Race Discrimination
Harassment (600.010)		Unclassified Discrimination
Unclassified	1 (1.6%)	Sex Discrimination
		National Origin Discrimination

Allegations regarding incidents on or after	53 (84.3%)
August 14, 2020	
Sexual harassment under Title IX	23 (36.5%)
(600.020)	
Hostile Environment	14 (22.2%)
Rape/Attempted Rape	4 (6.3%)
Unclassified	3 (4.8%)
Dating violence	1 (1.6%)
Fondling	1 (1.6%)
Equity Discrimination and Harassment	27 (43.0%)
(600.010)	
Race Discrimination	7 (11.1%)
Unclassified Discrimination	1 (1.6%)
Sex Discrimination	3 (4.8%)
National Origin Discrimination	4 (6.3%)
Hostile Environment	3 (4.8%)
Sexual Orientation Discrimination	2 (3.2%)
Rape/Attempted Rape	3 (4.8%)
Fondling	2 (3.2%)
Dating Violence	1 (1.6%)
Quid Pro Quo	1 (1.6%)
Other allegations	3 (4.8%)
Retaliation	2 (3.2%)
False reporting	1 (1.6%)

Page 15 Student Respondents

Info 13. Incident locations

Table 13: Incident location	
Location	2020-2021
On Campus	27 (50.0%)
Off Campus	15 (27.8%)
Electronic	11 (20.4%)
Undisclosed	1 (1.9%)
TOTAL	54

Info 14. Month incidents reported

Table 14: Month of report	
Month	2020-2021
August	4 (7.4%)
September	16 (29.6%)
October	7 (13.0%)
November	2 (3.7%)
December	1 (1.9%)
January	1 (1.9%)
February	6 (11.1%)
March	6 (11.1%)
April	6 (11.1%)
May	1 (1.9%)
June	1 (1.9%)
July	3 (5.6%)
TOTAL	54

Info 15. Person type of reporter

Table 15: Reporter	
Reporter 2020-202	
Student	22 (40.7%)
Staff	12 (22.2%)
Faculty	7 (13.0%)
Anonymous	7 (13.0%)
University Police	4 (7.4%)
Third Party	2 (3.7%)
TOTAL	54

Info 16. Person type of complainant

Table 16: Complainant	
Complainant 2020-202	
Student	47 (87.0%)
Staff	0 (0.0%)
Faculty	1 (1.9%)
Third Party	6 (11.1%)
TOTAL	54





Page 16 Student Respondents

Info 17. Allegation resolution

Table 17: Allegation resolution Total: 63

Allegations regarding incidents prior to August 14, 2020	10
Sex discrimination, sexual harassment, sexual misconduct (600.020)	9
Does not describe a policy violation	1
Stalking on the basis of sex	1
Request not to pursue	7
Nonconsensual sexual intercourse	2
Sexual harassment	1
Nonconsensual sexual contact	2
Sexual exploitation	1
Unclassified	1
Conflict resolution – Voluntary permanent separation	1
Nonconsensual sexual intercourse	1
Equity Discrimination and Harassment (600.010)	1
Does not describe a policy violation	1
Unclassified	1

03	
Allegations regarding incidents on or after August 14, 2020	53
Sexual harassment under Title IX (600.020)	23
No formal complaint filed	20
Hostile Environment	13
Rape / Attempted Rape	4
Unclassified	2
Fondling	1
Informal Resolution – Mediation / Facilitated Dialogue	1
Dating Violence	1
Informal Resolution – Administrative Resolution	1
Unclassified	1
Hearing Panel	1
Hostile Environment	1
Equity Discrimination and Harassment (600.010)	27
No complaint filed	13
Race Discrimination	2
Unclassified Discrimination	1
Sex Discrimination	1
National Origin Discrimination	2
Hostile Environment	3
Sexual Orientation Discrimination	1
Rape/Attempted Rape	2
Dating Violence	1
Out of jurisdiction	4
Race Discrimination	1
Sex Discrimination	1
Rape/Attempted Rape	1
Quid Pro Quo	1
Summary Resolution	2
Race Discrimination	1
Fondling	1
Administrative Resolution	8
Race	3
Sex Discrimination	1
National Origin	2
Sexual Orientation	1
Fondling	1
Other policy violations	3
No complaint filed	1
False reporting	1
Administrative Resolution	1
Retaliation	1
Not a policy violation	1
Retaliation	1

Page 17 Student Respondents

Missouri S&T Faculty Respondents

Info 18. Faculty Respondents

Table 18: Faculty respondents		
# of reports	16	
# of respondents	16	
# allegations	20	

Info 19. Allegations reported

Table 19: Types o	f reported	allegations	for facu	lty respondents
-------------------	------------	-------------	----------	-----------------

Allegations regarding incidents prior to August 14, 2020	4 (20.0%)
Sex discrimination, sexual harassment, sexual misconduct (600.020)	2 (10.0%)
Sexual harassment	1 (5.0%)
Stalking on basis of sex	1 (5.0%)
Equity Discrimination and Harassment (600.010)	2 (10.0%)
National origin	1 (5.0%)
Race	1 (5.0%)

Allegations regarding incidents on or after August 14, 2020	16 (80.0%)
Sexual harassment under Title IX (600.020)	7 (35.0%)
Hostile Environment	7 (35.0%)
Equity Discrimination and	9 (45.0%)
Harassment (600.010)	
Race Discrimination	2 (10.0%)
Unclassified Discrimination	3 (15.0%)
Sex Discrimination	3 (15.0%)
Color Discrimination	1 (5.0%)



Page 18 Faculty Respondents

Info 20. Incident locations

Table 20: Incident location		
Location	2020-2021	
On Campus	15 (93.8%)	
Off Campus	0	
Electronic	1 (6.3%)	
Undisclosed	0	
TOTAL	16	

Info 21. Month incidents reported

Table 21: Month of report		
Month	2020-2021	
August	1 (6.3%)	
September	2 (12.5%)	
October	3 (18.8%)	
November	0	
December	1 (6.3%)	
January	0	
February	1 (6.3%)	
March	2 (12.5%)	
April	1 (6.3%)	
May	4 (25.0%)	
June	1 (6.3%)	
July	0	
TOTAL	16	

Info 22. Person type of reporter

Table 22: Reporter		
Reporter	2020-2021	
Student	8 (50.0%)	
Staff	2 (12.5%)	
Faculty	4 (25.0%)	
Anonymous	1 (6.3%)	
University Police	0	
Third Party	1 (6.3%)	
TOTAL	16	

Info 23. Person type of complainant

	7 1	
Table 23: Complainant		
Complainant 2020-202		
Student	15 (93.8%)	
Staff	0	
Faculty	1 (5.9%)	
Third Party	0	
TOTAL	16	

Info 24. Allegation resolution

Table 24: Allegation resolution	Total 20
Allegations regarding incidents prior to August 14, 2020	4
Sex discrimination, sexual harassment, sexual misconduct (600.020)	2
Request not to pursue	1
Stalking on basis of sex	1
Informal Resolution - Administrative resolution	1
Sexual harassment	1
Equity Discrimination and Harassment (600.010)	2
Does not describe a policy violation	2
National origin	1
Race	1
Allegations regarding incidents on or after August 14, 2020	16
Sexual harassment under Title IX (600.020)	7
No formal complaint filed	7
Hostile Environment	7
Equity Discrimination and Harassment (600.010)	9
No complaint filed	9
Race Discrimination	2
Unclassified Discrimination	3
Sex Discrimination	3
Color Discrimination	1





Page 19 Faculty Respondents

Missouri S&T Staff Respondents

Info 25. Staff respondents

Table 25: Staff respondents		
# of reports	6	
# of respondents	6	
# allegations	7	

Info 26. Allegations reported

Table 26: Types of reported allegations for staff respondents

Allegations regarding incidents	0
prior to August 14, 2020	

Allegations regarding incidents on or after August 14, 2020	7 (100.1%)
Equity Discrimination and Harassment (600.010)	6 (85.8%)
Race Discrimination	2 (28.6%)
Unclassified Discrimination	2 (28.6%)
National Origin Discrimination	1 (14.3%)
Age Discrimination	1 (14.3%)
Other allegations	1 (14.3%)
Retaliation	1 (14.3%)



Page 20 Staff Respondents

Info 27. Incident locations

Table 27: Incident locations	
Location 2020-2021	
On Campus	3 (50.0%)
Off Campus	0
Electronic	3 (50.0%)
Undisclosed	0
TOTAL	6

Info 28. Months incidents reported

Table 28: Month of report	
Month	2020-2021
August	1 (16.7%)
September	1 (16.7%)
October	0
November	0
December	0
January	0
February	0
March	0
April	1 (16.7%)
May	1 (16.7%)
June	1 (16.7%)
July	1 (16.7%)
TOTAL	6

Info 29. Person type of reporter

Table 29: Reporter	
Reporter	2020-2021
Student	2 (33.3%)
Staff	2 (33.3%)
Faculty	1 (16.7%)
Anonymous	0
University Police	0
Third Party	1 (16.7%)
TOTAL	6

Info 30. Person type of complainant

Table 30: Complainant	
Complainant	2020-2021
Student	1 (16.7%)
Staff	3 (50.0%)
Faculty	2 (33.3%)
Third Party	0
TOTAL	6

Info 31. Allegation resolution

Table 31: Allegation resolution	Total 7
Allegations regarding incidents prior to August 14, 2020	0
Allegations regarding incidents on or after August 14, 2020	7
Sexual harassment under Title IX (600.020)	0
Equity Discrimination and Harassment (600.010)	6
No complaint filed	2
Unclassified Discrimination	1
Age Discrimination	1
Out of jurisdiction	1
Race Discrimination	1
Referral to other process	1
Unclassified	1
Summary Resolution	2
Race	1
National Origin	1
Other policy violations	1
No complaint filed	1
Retaliation	1





Page 21 Staff Respondents

University of Missouri Entity Respondents

Info 32. University of Missouri Entity Respondents

Table 32: University of Missouri Entity Respondents		
# of reports	6	
# of respondents	6	
# allegations	7	

Info 33. Allegations reported

Table 33: Types of reported allegations for University of Missouri respondents

Allegations regarding incidents prior to	0
August 14, 2020	

Allegations regarding incidents on or after August 14, 2020	7 (100.0%)
Equity Discrimination and Harassment (600.010)	7 (100.0%)
Race Discrimination	2 (28.6%)
Unclassified Discrimination	2 (28.6%)
Sex Discrimination	1 (14.3%)
National Origin Discrimination	1 (14.3%)
Religious Discrimination	1 (14.3%)



Info 34. Incident locations

Table 34: Incident locations	
Location	2020-2021
On Campus	5 (83.3%)
Off Campus	0
Electronic	1 (16.7%)
Undisclosed	0
TOTAL	6

Info 35. Month incidents reported

Table 35: Month of report	
Month	2020-2021
August	0
September	2 (33.3%)
October	2 (33.3%)
November	0
December	0
January	1 (16.7%)
February	0
March	0
April	1 (16.7%)
May	0
June	0
July	0
TOTAL	6

Info 36. Person type of reporter

Table 36: Reporter	
Reporter	2020-2021
Student	3 (50.0%)
Staff	0
Faculty	1 (16.7%)
Anonymous	2 (33.3%)
University Police	0
Third Party	0
TOTAL	6

Info 37. Person type of complainant

Table 37: Complainant	
Complainant 2020-202	
Student	4 (66.7%)
Staff	1 (16.7%)
Faculty	0
Third Party	1 (16.7%)
TOTAL	6

Info 38. Allegation resolution

Table 38: Allegation resolution	Total 7
Allegations regarding incidents prior to August 14, 2020	0
Allegations regarding incidents on or after August 14, 2020	7
Equity Discrimination and Harassment (600.010)	7
No complaint filed	7
Race Discrimination	2
Unclassified Discrimination	2
Sex Discrimination	1
National Origin Discrimination	1
Religious Discrimination	1





Student Organization Respondents

Info 39. Student Organization Respondents

Table 39: Student Organization Respondents	
# of reports	4
# of respondents	4
# allegations	5

Info 40. Allegations reported

Table 40: Types of Reported Allegations for Student Organization Respondents

Allegations regarding incidents	0
prior to August 14, 2020	

Allegations regarding incidents on or after August 14, 2020	5 (100.0%)
Equity Discrimination and Harassment (600.010)	5 (100.0%)
Unclassified Discrimination	1 (20.0%)
Hostile Environment	1 (20.0%)
Sexual Orientation Discrimination	1 (20.0%)
Disability Discrimination	1 (20.0%)
Gender Identity Discrimination	1 (20.0%)





Info 41. Incident locations

Table 41: Incident locations		
Location 2020-2021		
On Campus	3 (75.0%)	
Off Campus	1 (25.0%)	
Electronic	0	
Undisclosed	0	
TOTAL	4	

Info 42. Month incidents reported

Table 42: Month of report		
Month	2020-2021	
August	1 (25.0%)	
September	0	
October	1 (25.0%)	
November	0	
December	0	
January	0	
February	0	
March	0	
April	1 (25.0%)	
May	1 (25.0%)	
June	0	
July	0	
TOTAL	4	

Info 43. Person type of reporter

Table 43: Reporter		
Reporter 2020-202		
Student	2 (50.0%)	
Staff	0	
Faculty	0	
Anonymous	2 (50.0%)	
University Police	0	
Third Party	0	
TOTAL 4		

Info 44. Person type of complainant

Table 44: Complainant	
Complainant 2020-202	
Student	4 (100.0%)
Staff	0
Faculty	0
Third Party	0
TOTAL 4	

Info 45. Allegation resolution

Table 45: Allegation resolution	Total 5
Allegations regarding incidents prior to August 14, 2020	0
Allegations regarding incidents on or after August 14, 2020	5
Equity Discrimination and Harassment (600.010)	5
No complaint filed	4
Unclassified Discrimination	1
Hostile Environment	1
Sexual Orientation Discrimination	1
Gender Identity Discrimination	1
Administrative resolution	1
Disability Discrimination	1







Third Party Respondents

Third party refers to non-university affiliated individual or groups such as visitors, guests, contractor, or service provider.

When a respondent is a third party, the ability of the University to investigate, determine responsibility, and issue sanctions is limited because the University has limited to no jurisdiction over the third party. When appropriate and if the identity of the third party is known, a third party may be trespassed from university property.

Info 46. Third Party Respondents

Table 46: Third Party Respondents		
# of reports	9	
# of respondents	9	
# allegations	11	

Info 47. Allegations reported

Table 47: Types of Reported Allegations for Third Party Respondents

Allegations regarding incidents prior	0
to August 14, 2020	



Allegations regarding incidents on or after August 14, 2020	11 (100.1%)
Sexual harassment under Title IX (600.020)	3 (27.3%)
Hostile Environment	2 (18.2%)
Dating violence	1 (9.1%)
Equity Discrimination and Harassment (600.010)	7 (63.7%)
Unclassified Discrimination	1 (9.1%)
Sex Discrimination	1 (9.1%)
Hostile Environment	1 (9.1%)
Fondling	1 (9.1%)
Dating Violence	1 (9.1%)
Quid Pro Quo	1 (9.1%)
Domestic Violence	1 (9.1%)
Other allegations	1 (9.1%)
Retaliation	1 (9.1%)

Page 26 Third Party Respondents

Info 48. Incident locations

Table 48: Incident locations		
Location	2020-2021	
On Campus	4 (44.4%))	
Off Campus	4 (44.4%)	
Electronic	1 (11.1%)	
Undisclosed	0	
TOTAL	9	

Info 49. Month incidents reported

Table 49: Month of report		
Month	2020-2021	
August	1 (11.1%)	
September	0	
October	2 (22.2%)	
November	1 (11.1%)	
December	0	
January	0	
February	1 (11.1%)	
March	1 (11.1%)	
April	1 (11.1%)	
May	1 (11.1%)	
June	1 (11.1%)	
July	0	
TOTAL	9	

Info 50. Person type of reporter

Table 50: Reporter		
Reporter	2020-2021	
Student	5 (55.6%)	
Staff	0	
Faculty	0	
Anonymous	1 (11.1%)	
University Police	3 (33.3%)	
Third Party	0	
TOTAL	9	

Info 51. Person type of complainant

Table 51: Complainant		
Complainant	2020-2021	
Student	6 (66.7%)	
Staff	1 (11.1%)	
Faculty	1 (11.1%)	
Third Party	1 (11.1%)	
TOTAL	9	

Info 52. Allegation resolution

Table 52: Allegation resolution	Total 11
Allegations regarding incidents prior to August 14, 2020	0
Allegations regarding incidents on or after August 14, 2020	11
Sexual harassment under Title IX (600.020)	3
No formal complaint filed	3
Hostile Environment	2
Dating Violence	1
Equity Discrimination and Harassment (600.010)	7
Out of jurisdiction	7
Unclassified Discrimination	1
Sex Discrimination	1
Hostile Environment	1
Fondling	1
Dating Violence	1
Quid Pro Quo	1
Domestic Violence	1
Other policy violations	1
Out of jurisdiction	1
Retaliation	1



Page 27 Third Party Respondents

Contact Information

Thank you for reviewing the information in this annual report and for supporting our campus. If you have questions related to information provided in this report or equity and Title IX processes at Missouri S&T, please contact:

Missouri S&T Equity & Title IX

Email: equity@mst.edu Phone: (573) 341-7734

All media inquiries:

Missouri S&T Marketing and Communications

Email: news@mst.edu Phone: (573) 341-4328

A report of any form of discrimination or harassment based on a protected class, including sexual harassment, may be made in person, by mail, by telephone, by electronic mail, or online.

Equity & Title IX
900 Innovation Drive, Suite 500

Rolla, MO 65409

Phone: (573) 341-7734 Website: <u>equity.mst.edu</u> Email: <u>equity@mst.edu</u>

Reporting form is available online at equity.mst.edu.



Page 28 Contact Information